Approved for use through 3/31/2007. OMB 0651-0021 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

#### ATTORNEY'S DOCKET NUMBER TRANSMITTAL LETTER TO THE UNITED STATES **MNE 109** DESIGNATED/ELECTED OFFICE (DO/EO/US) **CONCERNING A SUBMISSION UNDER 35 U.S.C. 371**

INTERNATIONAL APPLICATION NO. INTERNATIONAL FILING DATE PRIORITY DATE CLAIMED 23 March 2004 10 April 2003 PCT/EP2004/003066 TITLE OF INVENTION BLENDS WITH SHAPE MEMORY CHARACTERISTICS APPLICANT(S) FOR DO/EO/US LENDLEIN, Andreas and RIDDER, Ute Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information: This is a FIRST submission of items concerning a submission under 35 U.S.C. 371. This is a SECOND or SUBSEQUENT submission of items concerning a submission under 35 U.S.C. 371. This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below. The US has been elected (Article 31). √ A copy of the International Application as filed (35 U.S.C. 371(c)(2)) a. is attached hereto (required only if not communicated by the International Bureau). b. has been communicated by the International Bureau. c. is not required, as the application was filed in the United States Receiving Office (RO/US). 6. An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)). is attached hereto. has been previously submitted under 35 U.S.C. 154(d)(4). Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) are attached hereto (required only if not communicated by the International Bureau). have been communicated by the International Bureau. have not been made; however, the time limit for making such amendments has NOT expired. have not been made and will not be made. An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).  $\square$ An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). [Unexecuted] An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)). Items 11 to 20 below concern document(s) or information included: An Information Disclosure Statement under 37 CFR 1.97 and 1.98. An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. 13. 🗹 A preliminary amendment. An Application Data Sheet under 37 CFR 1.76. A substitute specification. A power of attorney and/or change of address letter. A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821-1.825. A second copy of the published International Application under 35 U.S.C. 154(d)(4). A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4). 20.

his collection of information is required by 37 CFR 1.414 and 1.491-1.492. The information is required to obtain or retain a benefit by the public, which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 15 minutes to complete, including gathering information, preparing, and submitting the completed form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Other items or information: Copy of International Search Report

Page 1 of 2

					JC09	Rec	c'd PCT/PT	0 09 00	
				US			for use through 3/31/	O-1390 (Rev. 12-2004 /2007. OMB 0651-002 MENT OF COMMERCI	
			, no persons are required to respon	nd to a d	collection of inform	nation un	less it displays a vali	d OMB control number	
U.S. APPLICATION NO. (if known, see 37 CFR 1.5) INTERNATIONAL APPLICATION NO. PCT/EP2004/003066							ATTORNEY'S DOCKET NUMBER MNE 109		
21. The following fees are submitted:							cant use	Office use only	
a) Basic national fee\$300.00						\$	\$300.00	Cinico dos ciny	
b) Examination fee\$200.00							\$200.00		
							\$500.00		
✓ c) Search fee\$500.00						\$			
TOTAL OF ABOVE CALCULATIONS = \$1000.00 Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence							1,000.00		
listing or cor	mputer program listing fil	ed in an e	lectronic medium). The fee is	\$250 f	or each		•		
additional 50 sheets of paper or fraction thereof.									
Total Sheets	Extra sheets		umber of each additional 50 or fraction thereof (round up to a whole number)		RATE				
41 - 100 =	/50 =		· · · · · · · · · · · · · · · · · · ·		× \$250.00		-	-	
		<u> </u>				\$	0	<u></u>	
Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months from the earliest claimed priority date (37 CFR 1.492(e)).									
CLAIMS	NUMBER FI	.ED	NUMBER EXTRA		RATE	\$			
Total claims	11	- 20 =	0	×	\$50.00	\$	0		
Independent clair	ms 3	- 3 =	0	×	\$200.00	\$	0		
MULTIPLE DEPE	ENDENT CLAIM(S) (if ag	plicable)	0	+	\$360.00	\$			
TOTAL OF ABOVE CALCULATIONS =							1,000.00		
Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by ½.							0		
SUBTOTAL =							1,000.00		
Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest							,	·	
claimed priority date (37 CFR 1.492(f)). +						\$   \$	4 000 00		
TOTAL NATIONAL FEE =							1,000.00		
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property +									
TOTAL FEES ENCLOSED =									
·							THINITE.	\$	
Amount to be refunded:									
Amount to be charged								\$	
a. A chec	k in the amount of \$		to cover the abo	ove fee	s is enclosed.				
b. Please	charge my Deposit Acco	ount No	50-3129 in the amount of			over the	above fees.		
	cate copy of this sheet is mmissioner is hereby au		l. o charge any additional fees w	hich m	ay be required.	or credi	t any overpaymen	t to Deposit	
Account	t No. <u>50-3129</u> . A du	iplicate co	ppy of this sheet is enclosed.					,	
			/ARNING: Information on this card information and authorize			olic. Cre	dit card informat	ion should not	
	n appropriate time limit restore the Internationa		CFR 1.495 has not been me	et, a pe	tition to revive	(37 CF	R 1.137(a) or (b))	must be filed	
	RESPONDENCE TO:		-						
Patrea L. Pabst Pabst Patent Group LLP									
1201 Peachtree Street, Suite 1200						abst			
Atlanta, Georgia 30361 NAME 31 284									
United States	s of America			_		ON MI IA	ARER	·	
United States of America  31,284  REGISTRATION NUMBER									

# IN THE UNITED STATES RECEIVING OFFICE FOR THE PATENT COOPERATION TREATY

Applicants:

LENDLEIN, Andreas and RIDDER, Ute

International Application No:

PCT/EP2004/003066

International Filing Date:

23 March 2004

U.S. Serial No:

Not Yet Assigned

Filed:

October 7, 2005

Express Mail Label No:

EV 487329924 US

Date of Deposit:

October 7, 2005

For:

BLENDS WITH SHAPE MEMORY CHARACTERISTICS

MAIL STOP PCT Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

## EXPRESS MAIL TRANSMITTAL LETTER FOR PATENT APPLICATION AND CERTIFICATE OF MAILING

Sir:

Pursuant to 35 U.S.C. § 371 and 37 C.F.R. § 1.10, applicants enclose a Transmittal Letter to the United States Designated/Elected Office (DO/EO/US), a copy of the published International Application No. PCT/EP2004/003066 entitled "BLENDS WITH SHAPE MEMORY CHARACTERISTICS", filed in the European Receiving Office for the PCT on March 23, 2004, which claims priority to German Patent Application No. DE 10316573.8 filed on April 10, 2003, a translation of the application from German into English, a Preliminary Amendment, an unexecuted Declaration for Patent Application, an Application Data Sheet and authorization to charge our Deposit Account No. 50-3129 in the amount of \$1,000.00 which represents the filing fee under 37 C.F.R. § 1.492(a)(1)-(5) for a large entity.

The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment, to Deposit Account No. 50-3129.

This application is being filed on October 7, 2005, by mailing the Transmittal Letter to MAIL STOP PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 via

## JC09 Rec'd PCT/PTO 09 OCT 2005.

International Application No.: PCT/EP2004/003066

International Filing Date: 23 March 2004

EXPRESS MAIL TRANSMITTAL LETTER FOR PATENT APPLICATION AND CERTIFICATE OF MAILING

Express Mail Label No.: EV 487329924 US

Date of Deposit: 7 October 2005

the United States Postal Service "Express Mail Post Office to Addressee" Service under 37 C.F.R. § 1.10. The Express Mail label number appears in the heading of this paper which is attached to the Transmittal Letter.

All correspondence concerning this application should be mailed to:

Customer No. 23579 Patrea L. Pabst, Esq. PABST PATENT GROUP LLP 400 Colony Square, Suite 1200 1201 Peachtree Street Atlanta, Georgia 30361

(404) 879-2151 (Telephone) (404) 879-2160 (Fax)

Respectfully submitted,

Patrea Ll Pabst

Registration No. 31,284

Date: October 7, 2005

Patrea L. Pabst, Esq. PABST PATENT GROUP LLP 400 Colony Square, Suite 1200 1201 Peachtree Street Atlanta, Georgia 30361 (404) 879-2151 (Telephone) (404) 879-2160 (Fax)

### JC09 Rec'd PCT/PTO 99 OCT 2005

International Application No.: PCT/EP2004/003066 International Filing Date: 23 March 2004 EXPRESS MAIL TRANSMITTAL LETTER FOR

PATENT APPLICATION AND CERTIFICATE OF MAILING

Express Mail Label No.: EV 487329924 US

Date of Deposit: 7 October 2005

#### **CERTIFICATE OF MAILING UNDER 37 C.F.R. § 1.10**

I hereby certify that this Express Mail Transmittal Letter for Patent Application and any documents referred to as attached therein are being deposited with the United States Postal Service on this date, October 7, 2005, in an envelope as "Express Mail Post Office to Addressee" service under 37 C.F.R. § 1.10, Mailing Label Number EV 487329924 US addressed to MAIL STOP PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Date: October 7, 2005

Chandra Russell